



ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.
Serial No: 09/776,479
Filed: February 2, 2001
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY
Examiner: Not yet assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 7th day of November, 2001.

Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith are the following documents:

- ☒ Notice to File Missing Parts
- ☒ Response to the Notice to File Missing Parts
- ☒ Check for \$954.00
- ☒ Preliminary Amendment
- ☒ Declaration for Patent Application
- ☒ Fee Calculation Sheet
- ☒ Return Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617)720-3500, Boston, Massachusetts.

A check for \$954.00 is enclosed. If any further fee is determined to be due the Examiner is authorized to charged said fee to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

Maria A. Trevisan, Reg. No.: 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617)720-3500

Attorney's Docket No.: C0137/7013
Date: November 7, 2001
x12/11/01



ATTORNEY'S DOCKET NO: C1037/7013 (HCL/MAT)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Robert L. Bratzler, et al.
Serial No: 09/776,479
Filed: February 2, 2001
For: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY
Examiner: Not yet assigned
Art Unit: 1645

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 7 day of November, 2001.

Maria A. Trevisan, Reg. No. 48,207

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

RESPONSE TO THE NOTICE TO FILE MISSING PARTS

This is in response to the Notice to File Missing Parts of Non-Provisional Application mailed from the United States Patent and Trademark Office on October 11, 2001.

Attached is the Declaration and Power of Attorney executed by Robert L. Bratzler, Deanna M. Petersen and Yves Fouron as well as a Preliminary Amendment.

Also attached is a check in the amount of \$ 954.00 to cover the cost of the filing fee and the surcharge for filing a late Declaration and Power of Attorney.

Accordingly, Applicants believe that this document is responsive to the Notice to File Missing Parts.

Serial No: 09/776,479

-2-

If any other information is needed, please contact the undersigned attorney by phone (617-720-3500, Ext. 266) to expedite the further prosecution of this patent application.

Respectfully submitted,

WOLF, GREENFIELD & SACKS, P.C.



Maria A. Trevisan, Reg. No. 48,207
WOLF, GREENFIELD & SACKS, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
Attorneys for Applicant(s)
Tel. no. (617) 720-3500

ATTORNEY'S DOCKET NO.: C1037/7013 (HCL/MAT)

November 7, 2001

x12/11/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/776,479	02/02/2001	Robert L. Bratzler	C1037/7013(HCL/MAT)

CONFIRMATION NO. 7139

FORMALITIES LETTER



OC000000006884230

Helen C Lockhart
Wolf Greenfield & Sacks P C
890 Atlantic Avenue
Boston, MA 02210

#3

Date Mailed: 10/11/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$368.
 - \$288 for 16 total claims over 20.
 - \$80 for 1 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1208.**

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/14/2002 AHNDADF1 00000053 09776479
01 FC:101 740.00 BP
02 FC:105 130.00 BP
03 FC:102 84.00 BP

